

**COMPLIANCE MONITORING AND
CERTIFICATION OF BOARD COMPLIANCE REVIEW
2017-2018***

School Name: _____

LAUSD Loc. Code: _____

| Compliance Requirements* | Supporting Documentation | SCHOOL ADMIN. COMPLIANT | SCHOOL ADMIN. REQUIREMENT IN PROCESS | BOARD CERTIFICATION BY MARCH 2018 |
|---|---|--------------------------|--------------------------------------|-----------------------------------|
| 1. The charter school maintains timely and current verification of criminal background and TB clearances for all employees (including substitutes, part-time staff, and temporary employees) and contracting entities (service providers, vendors, and independent contractors). See, e.g., CA Ed. Code § 47605(b)(5)(F); CA Ed. Code §§ 45122.1 and 45125.1; CA Ed. Code § 49406. | Documentation that the school has at least one DOJ-confirmed Custodian of Records | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Completed and signed "Certification of Clearances, Credentialing and Mandated Reporter Training 2017-2018" form | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Completed and signed "Criminal Background Clearance Certification" for each faculty and staff member to certify criminal background clearance prior to employment | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Certification of timely DOJ and TB clearances by all contracting entities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Documentation of compliance with applicable volunteer clearance requirements, including tuberculosis (TB) risk assessment/clearance requirements under AB 1667 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| 2. Teachers of core/college preparatory subjects (including but not limited to English language arts, social studies, science, and mathematics) hold an EL Certification and a valid Commission on Teacher Credentialing Certificate, permit, or other documents equivalent to that which a teacher in other public schools would be required to hold per federal and state law, ESSA. See Ed. Code § 47605(l); | For each certificated staff member: Credential(s) appropriate for the position(s) to which the person has been assigned | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Master schedule that shows all assignment(s) of each certificated staff member | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2a. The administration and board have a system in place for reporting applicable employee misconduct to the Commission on Teacher Credentialing. | Internal human resources procedures | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. The Charter Schools Division has been provided with, and parents have access to, the school's most current contact information for each Governing Board member and the 2017-2018 Board meetings calendar . See current <i>District Required Language for Independent Charter School Petitions (New and Renewal) and Material Revisions (DRL)</i> . | Accurate and updated school contact information | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Accurate and updated list/roster of Governing Board members and contact information | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Calendar of Governing Board meeting dates and location(s) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Charter school complies with the pre- and post-lottery and enrollment forms guidelines . See <i>Admissions Requirements and Materials</i> (August 2011). | Lottery form and enrollment packet | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. Charter school shall ensure that staff receives annual training on the charter school's health, safety, and emergency procedures , and shall maintain a calendar for, and conduct, emergency response drills for students and staff including, but not limited to: <ol style="list-style-type: none"> a. Health, Safety and Emergency Preparedness Plan (School Safety Plan) (see, e.g., CA Ed Code 32280-32289) b. Child Abuse Mandated Reporter training (see AB 1432 (2014); Ed. Code § 44691; Penal Code § 11165.7) | Comprehensive Health, Safety, and Emergency Plan | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Documentation of emergency drills and preparedness training | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Documentation of timely and compliant Child Abuse Mandated Reporter training | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| c. Blood borne Pathogens training (see 8 CCR § 5193) d. Pupil Suicide Prevention Policy, AB 2246 (2016), schools serving grades 7-12 only | Documentation of annual Blood borne Pathogens training | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Documentation of Pupil Suicide Prevention Policy training (schools serving grades 7-12 only) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. Co-location Charters only- The school administrator and governing board acknowledges and understands that the independent charter school follows applicable District policy, including the District School Safety Plan, as a co-location school. | Participation in District and site level co-location meetings Review of Policy Bulletin-5532 Meeting with local district site principal for additional information and questions | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. The charter school has either implemented the LAUSD English Learner Master Plan or updated and implemented its own master plan in accordance with English Language Master Plan requirements. See current DRL and 2017-2018 Welcome Letter. | EL Certification Form | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | EL Master Plan has been updated (if the school has not adopted the LAUSD EL Master Plan) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. The charter school's school climate and student discipline systems and procedures align with LAUSD's Discipline Foundation Policy and School Climate Bill of Rights . See current DRL. | Description of the school-wide student behavior and discipline system that aligns with Discipline Foundation Policy and School Climate Bill of Rights | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Evidence of the tiered behavior intervention, alternatives to suspension, and school positive behavior support that the school provides | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| <p>9. Charter School shall maintain all data involving placement, tracking, and monitoring of student suspensions, expulsions, and reinstatements, and make such outcome data readily available to the LAUSD upon request. The charter school submits student suspension and expulsion data to the Office of Data and Accountability on a monthly basis. See current DRL.</p> | <p>Monthly suspension and expulsion reports</p> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <p>10. Charter School ensures that any and all school communications, including the Parent Student Handbook, are consistent with the provisions of school's approved charter as well as applicable law (e.g., translated for 15% and above languages)</p> | <p>Parent Student Handbook</p> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <p>11. The charter school's occupancy and use of facilities shall be in compliance with applicable building codes, standards and regulations adopted by the city and/or county agencies responsible for building and safety standards, and the Americans with Disability Act. See Ed. Code § 47610; current DRL.</p> | <p>Current and appropriate Certificate of Occupancy or equivalent; documentation of compliance with fire-life-safety requirements; other required documentation (for any school site not located on District property)</p> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <p>12. The charter school complies with all federal and state laws related to public entities, including, but not limited to:</p> <ul style="list-style-type: none"> • Ralph M. Brown Act, Gov. Code §§ 54950-54963 • Political Reform Act, Gov. Code §§ 81000-91015 • Public Records Act, Gov. Code §§ 6250-6276.48 <p>See current DRL.</p> | <p>Board meeting agendas and minutes for the past 12 months</p> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <p>Verification of compliant public posting of Board agendas, including on the school website</p> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <p>Evidence of Brown Act training</p> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <p>Forms 700</p> <p>School policy for responding to Public Records Act requests</p> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| 13. The charter school ensures that its Articles of Incorporation are current and appropriate for the operation of the charter school. | Corporate papers, including any and all Articles of Incorporation (initial documents and any subsequent amendments), for entities affiliated with the charter school | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 14. By-laws are current and consistent with approved charter, Governing Board-approved, and signed by the Governing Board secretary. | Current and signed Board-approved bylaws | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 15. The charter school meets the provisions of eligibility and/or is a participant of state and federal programs and/or grants , which may include but not limited to, the following: Title I, II, III, and other programs, child nutrition programs, Prop 20 – State Lottery, Education Protection Act, Special Education §56000, SB 740, and all other federal and state programs in which the charter school participates. | [See “Fiscal Review” in the <i>Annual Performance-Based Oversight Visit Preparation Guide</i> for list of documentation to be provided to the CSD Fiscal Team] | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 16. The charter school implements its own Uniform Complaint Procedure policies and procedures with appropriate corresponding forms and documents, readily available to stakeholders at the school site and on the school’s website, that are compliant with federal and state requirements., See, e.g., guidance provided at http://www.cde.ca.gov/re/cp/uc/ | The governing board has reviewed the school’s: <ul style="list-style-type: none"> • UCP policies • UCP procedures • UCP forms | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 17. The charter school, as a recipient of federal reimbursement for the National School Lunch/Breakfast program and/or as a school on District property, has adopted a Local School Wellness Policy . See Healthy, Hunger Free Kids Act of 2010 (Public Law 111–296); Child Nutrition and WIC Reauthorization Act of 2004. Note: Even if the charter school is not participating in the National School Lunch or Breakfast program, and is not located on District property, development and adoption of an equivalent Wellness Policy likely would benefit the school and its students. | Local School Wellness Policy, including evidence of stakeholder input in the development of the policy and annual progress report | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| 18. The governing board oversees the development of and approves/adopts the stakeholder engagement process, goals, actions, measurable outcomes, and expenditures in the school's Local Control Accountability Plan (LCAP) and annual updates in consultation with teachers, staff, administrators, parents, and students. See Ed. Code § 47606.5. | Documentation of stakeholder engagement, including Board Meeting Agendas, Board Minutes, and LCAP | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 19. The charter school ensures compliance with the LAUSD's Keeping Parents Informed: Charter Public School Transparency Resolution of January 12, 2016, which includes documents available both manually and electronically, and if the charter school occupies a building on the AB300 list (seismic safety survey), it has posted a notice of such status in its main office. | Documentation of discussion by the Governing Board including Board Meeting Agendas and Board Minutes and review that documentation is available both manually and electronically | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 20. The charter school ensures that it is in compliance with all applicable state law regarding homeless and foster youth, including but not limited to the provisions of AB 379 (2015) and Chapter 5.5 (commencing with Section 48850) of Part 27 of Division 4 of Title 2 of the Education Code, as amended from time to time. | Documentation of compliance with the requirements, which may include but is not limited to, the name of the charter school's designee and the partial credit policy, if applicable | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 21. Schools Serving Grade 9 only: The charter school complies with all applicable requirements of the California Mathematics Placement Act of 2015) | Documentation of the adoption of the charter school's established policy in compliance with the California Mathematics Placement Act of 2015, including the Board Meeting Agendas and Board Minutes | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 22. The charter school complies with all applicable requirements of AB 2246: Pupil Suicide Prevention Policies. (For schools with pupils in grades 7 to 12.) | Documentation of the adoption of the charter school's policy established in compliance with the AB 2246, including the Board Meeting Agendas and Board Minutes | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| 23. For High Schools Only: The charter school has obtained WASC accreditation and UCOP Doorways Course Approval | Charter school approvals are listed on the WASC website and UCOP Doorways website | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

CERTIFICATION OF SCHOOL ADMINISTRATOR'S COMPLIANCE REVIEW
(by end of 7th week of school)

The undersigned hereby certifies that, on _____ the School Administrator of _____
Date(s)

Name of Charter School
 reviewed the school's compliance related policies, systems, and procedures.

| | | |
|---|--|----------------------------|
| | | |
| <small>Printed Name of School Administrator</small> | <small>Signature of School Administrator</small> | <small>Date Signed</small> |

CERTIFICATION OF BOARD COMPLIANCE REVIEW

*****before March 16, 2018**

The undersigned hereby certifies that, on _____, the Governing Board of
Date(s)

Name of Charter School
reviewed the school's compliance related policies, systems, and procedures.**

| | | |
|--|---|----------------------|
| _____ Printed Name of Governing Board Chair | _____ Signature of Governing Board Chair | _____ Date Signed |
|--|---|----------------------|

- ** Please attach the relevant Board agenda(s) approved minutes for the meeting(s) and agenda approving the minutes at which the Board has reviewed the school's compliance with the items listed above.
- *** For your awareness, beginning in the 2018-2019 school year, the governing board's certification review will be due to the CSD in December 2018.